

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Application Number</b>	<b>09/470,180</b>
		<b>Filing Date</b>	<b>December 22, 1999</b>
		<b>First Named Inventor</b>	<b>Jay MERVES et al.</b>
		<b>Art Unit</b>	<b>3627</b>
		<b>Examiner Name</b>	<b>Paul Danneman</b>
<b>Sheet</b>	<b>1 of 2</b>	<b>Attorney Docket Number</b>	<b>72167.000112</b>

U.S. PATENT DOCUMENTS					
*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 2001-0020236	09-06-2001	CANNON, MARK	
	2.	US 2002-0010670	01-24-2002	MOSLER, WARREN B. et al.	
	3.	US 2002-0046158	04-18-2002	KELLY et al.	
	4.	US 5297031	03-22-1994	GUTTERMAN et al.	
	5.	US 5787403	07-28-1998	RANDLE	
	6.	US 5852811	12-22-1998	ATKINS	
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	8.	US 5966700	10-12-1999	GOULD, KENNETH et al	
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	20.	US 7212993	05-01-2007	BODURTHA, STEPHEN et al.	
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<b>EXAMINER SIGNATURE</b> /Paul Danneman/	<b>DATE CONSIDERED</b> 04/08/2011
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.D./

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		<b>Application Number</b>	<b>09/470,180</b>
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OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS			
*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION
			YES/NO
	22.	FRIIS, WILLIAMS, FRIIS, Goodbye to Paper?, American Bankers Association, ABA Banking Journal, New York, March 1989, Vol. 81, Iss. 3 (5 pages).	
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	25.	KANE, EDWARD, KANE, Market Incompleteness and Divergences Between Forward and Futures Interest Rates, The Journal of Finance, Vol. 35, No. 2, Papers and Proceedings Thirty-Eighth Annual Meeting American Finance Association, Atlanta, Georgia, December 28-30, 1979 (May,	
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